

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Matthew Heidner

Serial No.:

10/032,962

Examiner: Unknown

Filed:

October 24, 2001

Group Art Unit: 3763

For:

DISTAL BALLOON WAIST MATERIAL RELIEF AND METHOD OF

MANUFACTURE

Docket:

1001.1479101

RECEIVED

TRANSMITTAL SHEET

JUN 1 8 2003

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

TECHNOLOGY CENTER R3700

Sir:

CERTIFICATE UNDER 37 C.F.R. § 1.8: Thereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, XA 22313-1450

on this 10th day of June 2003.

David M. Crompto

We are transmitting herewith the attached:

[]	Amendment			
	[] No additional fee required			
	[] The fee has been calculated as shown:			

- 18% XIII &		CLAIMS	AS AMENI	DED	, 8 , 80		n
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	•	=		X 9=	\$	X 18=	\$
INDEPENDENT CLAIMS	-	=		X 42=	\$	X 84 =	\$
() FIRST MULTIPLE DEPENDENT CLAIM				+ 140 =	\$	+ 280 =	\$
TOTAL				\$		\$	

[]	Fee Code \$ Fee Code \$ Fee Code \$
[]	Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established.
[XX]	Other: <u>INFORMATION DISCLOSURE STATEMENT</u> , FORM PTO-1449 AND THREE (3) CITED REFERENCES.
[XXXX]	Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413. By:

David M. Crompton CROMPTON, SEAGER & TUFTE, LLC 1221 Nicollet Avenue, Suite 800 Minneapolis, MN 55403-2420 Telephone: (612) 677-9050

Facsimile: (612) 359-9349

28075

PATENT TRADEMARK OFFICE





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In re Application of:

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INFORMATION DISCLOSURE STATEMENT

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Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on this 10th day of June 2003.

Mary MILLAN

David M. Crompton

Dear Sir:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. § 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

Matthew Heidner

By his Attorney.

David M. Crompton, Reg. No. 36,7

CROMPTON, SEAGER & TUFTÉ, LLC

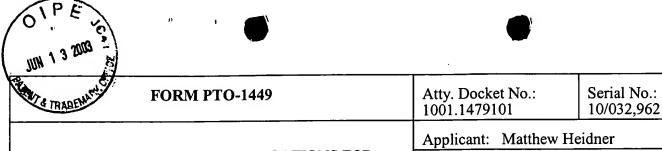
1221 Nicollet Avenue, Suite 800 Minneapolis, MN 55403-2420

Telephone: (612) 677-9050 Facsimile: (612) 359-9349

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PATENT TRADEMARK OFFICE

Date



LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT Applicant: Matthew Heidner Filing Date Gr

Filing Date Group Art:
October 24, 2001 3763

U.S. PATENT DOCUMENTS · Filing Date Document No. Date Name Examiner If Appropriate **Initial** 6,010,521 Lee et al. 01/04/2000 AARECEIVED 10/31/2000 Davis-Lemessy et al. AB 6,139,525 JUN 1 8 2003 **TECHNOLOGY CENTER R3700** FOREIGN PATENT DOCUMENTS Translation Yes No Country Document No. Date AC WO 01/21381 A1 03/29/2001 **WIPO** OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

DATE CONSIDERED:

EXAMINER: